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PTO/SB/81 (02-01)

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POWER OF ATTORNEY OR AUTHORIZATION OF AGENT

Application Number	09/935,994
Filing Date	August 23, 2001
First Named Inventor	Richard E. Smalley
Title	Polymer-Wrapped Single Wall...
Group Art Unit	
Examiner Name	Not yet assigned
Attorney Docket Number	11321-P035US

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Ross Spencer Garsson	38,150
Robert C. Shaddox	34,011
Hugh Kress	36,574
Reece A. Scott	41,297

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City	Dallas	State	Texas	Zip	75250-0784
Country	United States of America				
Telephone	512.370.2870	Fax	214.745.5390		

I am the:

☒ Applicant/Inventor.

☐ Assignee of record of the entire interest. See 37 CFR 3.71.
Statement under 37 CFR 3.73(b) is enclosed. (Form PTO/SB/96).

SIGNATURE of Applicant or Assignee of Record

Name	Richard E. Smalley
Signature	<i>Richard E. Smalley</i>
Date	10/08/01

NOTE: Signatures of all the inventors or assignees of record of the entire interest or their representative(s) are required. Submit multiple forms if more than one signature is required, see below*.

☒ *Total of 5 forms are submitted.

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SIGNATURE of Applicant or Assignee of Record

Name Ken A. Smith

Signature

Ken A. Smith

Date

10.9.01

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Name Daryl S. Boudreaux, Ph.D., Director, Office of Technology Transfer

Signature

Date

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JAN 04 2002

STATEMENT UNDER 37 CFR 3.73(b)Applicant/Patent Owner: Richard E. Smalley, et al.Application No./Patent No.: 09/935,994Filed/Issue Date: August 23, 2001Entitled: Polymer-Wrapped Single Wall Carbon NanotubesWilliam Marsh Rice University, a University

(Name of Assignee)

(Type of Assignee, e.g., corporation, partnership, university, government agency, etc.)

states that it is:

1. ☒ the assignee of the entire right, title, and interest; or
2. ☐ an assignee of less than the entire right, title and interest.
The extent (by, percentage) of its ownership interest is _____ %

in the patent application/patent identified above by virtue of either:

- A. [] An assignment from the inventor(s) of the patent application/patent identified above. The assignment was recorded in the United States Patent and Trademark Office at Reel _____, Frame _____, or for which a copy thereof is attached.

OR

- B. [] A chain of title from the inventor(s), of the patent application/patent identified above, to the current assignee as shown below:

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[] Additional documents in the chain of title are listed on a supplemental sheet.

☒ Copies of assignments or other documents in the chain of title are attached.

[NOTE: A separate copy (i.e., the original assignment document or a true copy of the original document) must be submitted to Assignment Division in accordance with 37 CFR Part 3, if the assignment is to be recorded in the records of the USPTO. See MPEP 302.08]

The undersigned (whose title is supplied below) is authorized to act on behalf of the assignee.

01/4/01
Date

Daryl S. Boudreaux, Ph.D.

Typed or printed name

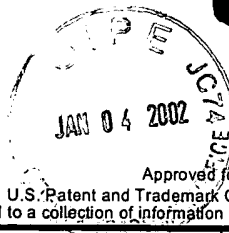
Signature

Director, Office of Technology Transfer

Title

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Name	Daniel T. Colbert
Signature	
Date	10/9/01

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Name	Michael O'Connell
Signature	
Date	10/8/01

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